Confidentiality/ Minor Consent Laws

Introduction
Encourage your team to consider how staff and providers in varied roles can create a climate where adolescents are more likely to discuss their sexual and mental health openly and honestly.

Objectives
By the end of this Spark training, participants will be able to:

• Identify which services minors have a right to access without a parent/guardian’s consent
• State the circumstances that health care providers must override a minor’s confidentiality and report

Supplies
Prepare these supplies prior to facilitating this Spark.

• Laptop
• Projector
• Copies of the Ohio Confidentiality/Minor Consent Laws Spark Handout
• Writing utensils for all participants

Additional Resources
If you would like to learn more about this Spark topic, take a look at these additional resources.

• Ohio Association for School-Based Health Care: Understanding Minor Consent and Confidentiality in Ohio
• Ohio Chapter of American Academy of Pediatrics: Minors’ Medical Records and Consent to Care (March 2006)
• Ohio Office of Children, Youth, & Families: Ohio Department of Job and Family Services
• Vaccine Information Statement from CDC: https://www.cdc.gov/vaccines/hcp/vis/about/facts-vis.html

Citation
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Today we are going to do a 15-minute mini-training, also called a Spark. As youth-serving professionals, it is important that we understand adolescent confidentiality and minor consent. This training is intended to be an overview of the most relevant laws on confidential services for teens.

Each person here will have times where we need to know and comply with consent and confidentiality laws, though it’s different for our various roles. For each law and scenario we discuss, try to think about how it applies to your role. To get us started, let’s review a case scenario.

This is Shay, who is 15. She is here today because of a sore throat. During her visit the clinician found out that she is concerned about having an STI. Shay says she is worried her mother will kick her out of the house if she knows Shay is sexually active. How does the right to confidentiality help or hurt Shay?

Give participants a moment to respond to the question on the slide. You may choose to have discussion here or just have people think about it.

Usually, not all of this patient information is available to everyone who comes into contact with her. When we know more details about a patient, does it affect how we feel about the patient’s right to confidentiality? Even though we know the law says we need to provide certain confidential services to teens without a parent’s permission, it can be challenging when we think parents should be involved. What can go wrong if we accidentally break confidentiality?
Have a couple of people respond briefly. Main point: If we don’t follow the laws, it can have a negative impact on teens.

Many teens choose to include their parent or guardian in decisions about their health. For some teens, however, having the option of certain confidential services makes it more likely that they will seek care when they need it. For instance, Shay would probably be more likely to get tested for STIs and possibly get a method of contraception if she’s assured her mother’s permission is not required.

Key Concepts (11 minutes)

3 – IMPORTANT DEFINITIONS

Before we review the laws, it’s important to recognize the difference between consent and confidentiality.

- **Consent** is permission to act. In general, a parent or legal guardian must give their permission – or “consent” – before their minor child can receive a medical service. However, there are important exceptions where a minor can consent to their own care, without a parent’s permission. We will discuss these exceptions today.

- **Confidentiality** refers to how health care providers and staff keep certain information private.

- **Consent does not equal confidentiality.**
  - Even if a minor is allowed to consent to a service without a parent’s permission, it does not necessarily mean that the provider is required to keep it confidential.
  - So, laws can protect a minor’s right to access a specific service, like contraception, but often it’s up to health care providers and staff to protect a minor’s confidentiality.

4 – OH LAW: PARENTAL CONSENT EXCEPTIONS
As this slide says, a parent or legal guardian must provide consent on behalf of a minor (under age 18) before health care services are provided, with several important exceptions. The exceptions are based on either:

- Status (for example, legal independence from parents/guardians), or
- The type of service requested (such as certain sexual health services).

Pass out the “Ohio Minor Consent & Confidentiality Laws” handout.

5 – OH LAW: MINOR CONSENT BASED ON STATUS

Here’s a handout that explains Ohio’s minor consent and confidentiality laws. As we see in the top section, Ohio law allows certain minors to consent to services based on their status. This includes:

- A minor may consent to health care services without a parent/guardian’s permission if they are:
  - 15 years of age or older
  - living apart from their parents
  - managing their own financial affairs.

- They can also consent to any services if they’re legally married.
- A minor who is sentenced to the youthful offender system may consent to their own care.
- You’ll notice that a pregnant minor may consent to prenatal, delivery, and post-delivery care.
- A minor who is the survivor of a sexual offense may consent to exams and treatment relating to the offense. However, when a minor has been assaulted, the provider must first attempt to notify a parent or guardian. If the attempt is not successful, the provider can still provide care.

Are there any questions about the status of minors who can consent to services without a parent or guardian’s permission?

6 – OH LAW: MINOR CONSENT BASED ON SERVICE
Note: statements are animated to appear one after each click, with the answer showing after the last click. Read each statement aloud before advancing to the next one.

Now let’s look at the **services** any minors can receive without parental or guardian consent.

**Advance slide**

1. Pregnancy testing and prenatal care, like we just discussed.

**Advance slide**

2. Birth control information and supplies. There is a growing body of research that shows that sexually active young people are more likely to use birth control if they are assured that they don’t need to involve a parent.

**Advance slide**

3. Testing, treatment, and prevention of sexually transmitted infections (STIs), including HIV. However, note that the provider must encourage the patient to involve a parent or guardian.

   Encouraging a minor to involve a parent or guardian in their health care is often a best practice.

**Advance slide**

4. Substance use disorder treatment, including for alcohol or drug abuse. Note that this excludes opioid replacement therapy.

**Advance slide**

5. A minor may consent to an abortion without a parent’s consent, but the parent, guardian, or a relative must be notified at least 48 hours in advance.
   
   a. This is governed by Ohio’s Parental Notification Act.
   
   b. The Act includes very specific requirements about who must be notified, the form of the notice, who may deliver it, when the 48 hours begins, etc.
   
   c. The law includes exceptions to the notice requirement, such as in the case of an emergency.
d. The bottom line is that if you’re facing a situation where a minor is seeking an abortion without parental consent, you should review the law and consider whether you need to consult legal counsel.

Advance slide

6. Finally, patients age 15 and up can access mental health services without parental/guardian consent. This includes both outpatient and inpatient services.

Are there any questions?

7 – HPV VACCINATIONS & MENTAL HEALTH MEDS

Can a minor in the state of Ohio give consent for the HPV vaccine? Ohio is silent on HPV, unlike California, that interprets getting an HPV vaccination as a protected reproductive health service.

So the answer in Ohio is no. A minor cannot consent for the HPV vaccine. To get any vaccine, a parent or legal guardian must sign that they received a vaccine information statement (VIS).

On your handout, you’ll see this toward the bottom. Note that mental health medications also require a parent or guardian’s consent.

8 – REPORTING

Now we’re going to review when a minor’s confidentiality must be breached. Health care providers must override the minor’s confidentiality and report...

- If the minor poses a danger to themselves or others; also
- A behavioral health service provider must contact a parent/guardian if the information is essential to making an informed medical decision for the minor.

9 – CASE SCENARIO: SHAY

Let’s go back to our 15-year-old patient, Shay, and answer these questions together as I read through them.
• Can Shay receive STI testing without a parent’s permission? [Answer: Yes, but the provider must encourage her to involve a parent or guardian.]
• Can she receive STI treatment? [Answer: Yes, but again, the provider must encourage her to involve a parent or guardian.]
• Can she receive preventive care, such as an HPV vaccine? What about condoms or other contraception? [Answer: She can’t get an HPV vaccine without her mother’s consent, but she can get condoms or other contraception without her mother’s consent.]

A final note about Shay. If she uses her mother’s insurance, any health information may be disclosed in an explanation of benefits (EOB) form that could be sent to her parents. For maximum confidentiality, a minor may go to a provider that is able to provide services without billing insurance, like a Title Ten clinic. The Spark on Confidentiality Best Practices covers more strategies to ensure confidential services for minors.

10 – ACCESSING RECORDS

In general, a minor’s parent/legal guardian is authorized to access the minor’s medical records. However, a minor’s confidentiality may be protected if:

1. The parent/guardian’s consent was not required for the service. So, for any of the special confidential services we’ve discussed today, a health care provider or staff is allowed to protect a minor’s confidentiality.
2. The parent/guardian consented to a confidential relationship between the minor and health care provider.
3. The health care provider believes the minor is being abused or neglected by the person requesting information or may be harmed by disclosure of information to that person.

Application (1 minute)
CASE SCENARIO: GIOVANNI

Let’s take a look at one last scenario. Giovanni is a 17-year-old boy who is struggling with a substance use disorder, but doesn’t want to tell his parents.

Is Giovanni allowed to get outpatient counseling for substance use without a parent’s consent?

Allow a moment for people to respond either quietly to themselves or aloud.

The answer is yes. The provider is not required to notify Giovanni’s parents, but may encourage Giovanni to tell his parents.

THANK YOU!

To keep this conversation going over the next month, I will share Sparklers, or quiz questions, about confidentiality. I’ll post the Sparklers around the office in places that you all can easily see them. When you see a Sparkler, take a moment to read them and reflect on the responses. Thank you for your participation!

Print and post Sparklers in areas your staff can see (e.g., lunchroom).